# DOPAS Training Workshop 2015

Learning Unit 2 : Preparation of an in-situ or full-scale plug or sealing experiment

How to come up with a coherent demonstrator program for plugs and seals

Theoretical basis to Andra's iterative safety assessment process and the latest safety assessment round including the role of FSS and REM experiments in DOPAS project

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#### **Summary**

General history of deep underground repository in France The 1997-2005 period : feasibility phase The 2006-2015 period : the Cigéo Project

General procedure for safety assessment analysis The FA (Functional Analysis) The PARS (Phenomenological analysis of Repository Situations) The QSA (Qualitative Safety Analysis)

The actual loop Major milestones in terms of safety loops Actual general planning Main planned experiences



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# General history of deep underground repository in France

The 1991-2005 period (the feasibility phase)
The 2006-2012 period, the Cigéo project today



# 1992-1994: Site screening for U/G research laboratories

- Description Consultation Member of Parliament Christian Bataille
- » Site selection on the basis of voluntary sites
  - Ø 2 types of rocks, 3 areas preselected :
    - q Granite: Vienne
    - q Clay: Gard, Meuse/Haute-Marne

1994-1996: Above/ground geological survey in the 3 preselected areas, with regard to safety criteria defined by ASN (in basic safety rule 1991)

- )) 150 m thick clay layer in Meuse/Haute-Marne, deptharound = 500 m
- » Thick high strength clay layer in Gard (depth around 700 m)
- » Granite under sedimentary cover in Vienne
- Ø 1996: Licence application for 3 URLs, reviewed 1997-1998 by CNE (National review board) and ASN
- 5/57 1998: URL licenced in Meuse/Haute-Marne



## The 1991-2005 period: siting

BELGIQUE

SUISS

CÔTE D'AZUE

MER MÉDITERRANÉE

ITALI

PAS-

MANCHE

DE-CALAIS

#### The 1991-2005 period: From generic to site specific concepts



#### The 1991-2005 period: Andra's preliminary concepts in 1998-2001



The 1991-2005 period: organisation

Basically two sub phases:

- Description Up to 2001, this is a very Research intensive phase, and the functional approach is shared between the project team and the safety department to guide the concept related work and structure the safety analysis.
- Detween 2001 and 2005, in view of the 2005 milestone, there is a strong need to structure the overall approach:
  - $\Box$  The <u>FA</u> is developed by the project team for use both:
    - + By the safety department to work on the safety analysis (see the level 2 Dossier 2005 document "safety evaluation")
    - + By the design team to describe very clearly the functions allocated to each of the main components (see the level 2 Dossier 2005 document "architecture and management of the geological disposal").
  - □ The <u>PARS</u> is developed by the Research department (see the level 2 Dossier 2005 document "phenomenological evolution of the geological disposal"). The results are used for safety evaluations (quantitative).
  - $\hfill\square$  The <u>QSA</u> combines both above approaches to define safety scenarios.



# General history of deep underground repository in France

)) The 1991-2005 period (the feasibility phase)

)) The 2006-2012 period, the Cigéo project today







The 2006-2015 period: Stepwise siting combining geology/industrial/local integration criteria on a concertation basis



The 2006-2015 period: Stepwise siting combining geology/industrial/local integration criteria on a concertation basis

ØAndra has set up a new dialogue phase to implement the surface facilities:

- ü Meuse and Haute-Marne wish a sustainable partnership for hosting Cigéo.
- ü The selected site will be validated for the DAC (2017)



#### The 2006-2015 period: ILW disposal cells



#### The 2006-2015 period: HLW disposal cells

HLW will be disposed of in lined horizontal micro-tunnels (80-100 m long : 0,8 m in diameter):

- ) Heat conduction in clay Ømax. temp in clay rock: 90 °C
- )) Steel liner
- ) Cell length to be optimized with regard to technological limits and cost
- Description: De





#### The 2006-2015 period: Organisation (I)

2006-2010: towards the optimization of the repository concepts

- )) 2006-2007: Feedback from 2006 reviews
- )) 2007-2009: New iteration between design/knowledge/safety
- » 2009: Safety/reversibility options, reviewed in 2010

The previous methodologies (Functional Analysis, PARS, QSA,...) are maintained:

- For working on these different documents, the 2009 dossier in particular (this document is used to support the more detailed siting of Cigeo)
- ) For continuing the concept development work (iteration between design/knowledge/safety),

The responsibilities remain (compared to the previous period) 15/57



The 2006-2012 period: Organisation (II)

2010-2012 : The Cigéo Project has entered its industrial design phase:

- 2011: Completion of project requirements (next slide), waste inventory and delivery planning;
- 2012: Signature of the Cigéo system prime-contracting agreement between Andra and the "Gaiya group" (Technip, Ingérop)
- 2013: Signature of subsystem contracting agreements (conventional surface facilities, nuclear surface facilities, nuclear processes, underground facility).

This implies significant changes to the organisation and the project requirements document is used for the industrial development

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#### Period 2006-2015: Cigéo Project requirements

In 2011, the results of 20 years of R&D have made it possible to issue detailed project technical requirements.

- Postclosure Safety
  - □ Protect humans and the environment from radioactivity and toxicity of waste
  - □ Oppose groundwater flow
  - □ Limit the release of radionuclides and immobilize them whithin repository
  - Delay and mitigate the migration of radionuclides
  - □ Preservation of the favorable properties of host clay
- » Nuclear safety and security in operation
  - □ Contain radioactive substances, protect people against exposure to ionizing radiation, control of nuclear criticality, remove the thermal power, vent gases
  - □ Failures and internal and external hazards risk management
- ) Waste emplacement and retrievability
  - □ Receive, prepare and emplace waste packages
  - □ Close the repository
  - □ Allow retrieval of the waste packages
- )) Control, monitor, observation
- » Sustainable development, corporate and social responsibility

#### 17/57 » Project governance



The safety approach procedure



### Safety approach : a global approach with key steps



Functional analysis is a method for describing a system or a product.

This method was seen as being the basis for developing « well adapted » products (initially in a military environment), based on the belief that the well adapted product must be user needs "driven" and that functions were probably the best way of describing the needs.



The basic approach is the identification of the expected functions of the object in view of developing a satisfactory answer to the user needs:

)) <u>Needs</u> : a product is developed to satisfy needs

)) <u>User</u> : person or organisation for which the product or system is conceived and who uses at least one of its functions at one point in time

)) *Function* : Intended effect of a system, sub system, product

)) <u>Product</u> : a solution to needs through the satisfaction of the functions



The starting point is, once the scope has been well defined, the function identification

This initial identification can be based on:

- )) User needs analysis
- )) Previous systems
- )) Brainstorming
- )) Environmental analysis
- )) ...

The top level, or main functions, must then be broken down based on the why?/how? Rule

The result:

- )) First level functions
- )) Functional tree
- ) Criteria
- )) Performance levels
- >> Flexibility





#### This approach has advantages:

- )) A simple methodology
- ) The description of needs is more durable than the description of the technical solutions
- )) Useful for correct management of costs

#### Functional analysis can be applied to different objects:

- )) Systems, such as space systems
- )) Products, such as standard industrial products
- )) Software packages
- )) Organisations

#### Results:

- ) A Coherent system, a valid product for a given market or use, a coherent and bug free software package, ...
- ) The best solution:
  - □ From a performance/cost point of view (product)
  - □ With respect to competition (product)
  - □ For system integration (system)
  - □ For the organisation (Enterprise Resource Planning)

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A few rules

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In such a functional expression of needs there is no reference at first to the technical solution.

This allows the user of the method to focus on needs before going into the technical details.

It therefore stimulates the user of the method to optimize the product and find the best proposal in view of the needs.

The amount of detail of the analysis is to be set according to the time left before the system is required:

- ) Feasibility study : overall needs analysis
- ) Conception phase : sub system analysis
- Detailed design : component analysis



How to apply to waste management

The functional approach is well suited to:

The long time frames of radionuclides repository projects, since the initial functional break down is a lasting description

The few relevant past systems from which to benefit and the need to break new grounds

The need to demonstrate to stake holders, safety authorities, ... that the solution we put forward is fully justified by allowing to trace from high level functionalities to detailed requirements, at the component level (a traceable link between the product (or system) and the solution)



Seal example



Initial need/question: How to limit the migration toward the surface ?

- Not possible via the host rock, chosen for its low permeability
- Possible in the highly permeable gallery network

Put a component in the gallery network to try to come back to the natural (host rock) properties: "low permeability seals"
 <u>Performance needed by the seals</u>? Trial and error hydraulic numerical simulations to find a suitable value : let's say 10<sup>-11</sup> m/s



Seal example



# Sub question: How to achieve such a low permeability ?

- Very low permeability of the seal itself
- Recompression of the EDZ around it to reduce its permeability



Use of a swelling clay (bentonite)



Seal example



<u>Sub question</u>: How to maintain the swelling pressure of the clay ?

 Swelling clays are developing a swelling pressure if their volume is constrained during resaturation



Seal example



<u>Sub question</u>: How to maintain the concrete wall during the swelling of the clay?

 The concrete walls must be calibrated so has to be able to support the mechanical contraints due to the swelling of the clay core

Anchor the concrete walls into the host rock



Seal example



Initial need/question: How to limit the migration toward the surface ?

- The bentonite core is the main component to the function
- The recompacted EDZ is a contributor to the function
- The concrete walls have no direct contribution to the function but are a necessary support.



#### Safety functions (needs) R2b

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R3

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R2a

R1

### Safety approach : FA (Functional Analysis)

The final result is a table component-functions

**FAT** : Function Allocation Table

	Component	ш	IV	٧	VI	Ш	IV	V	VI	IE	IV	V	VI	Ш	١V	V	VI	11	Ш	IV	V	VI
	1. Multi-Layer cover																	11				
	1.1 Biological Layer					М	M <sup>(1)</sup>	M <sup>(1)</sup>	С									1 1	С			
	1.2 Bio-intrusion Barrier					Μ	C	С	С									11	С			
	1.3 Infiltration Barrier					М	С	С	С										С	$\square$		
S	1.4 Sand Layer					М	С	C	С										С			
Ę	1.5 Impervious Top Slab					Μ	M	С										11	М	M	C	
ē	1.6 Floating Slabs					С												[				
Ē	1.7 Bitumen layer					С												[				
Q	1.8 Side Embankment																		С			
Q	3. Module Roof																	1 1				
Ξ	3.1 Structural Top Slab					М	М	С										[	М	M	С	
ō	3.2 Precast Shielding Slab					С	С	С													T	
S	4. Module Middle																	1 1				
	4.1 Gravel																					
윽	4.2 Module Wall					М	М	С		М	С			М	М	М	C	11	M	М	С	
S	5. Monolith																	1 1				
	5.1 Caisson					М	М	С		М	M	С		M	M	М	С	[	м	М	C	
2	5.2 Mortar					М	Μ	С		м	М	C		Μ	М	М	С		М	М	C	-
E	5.3 Waste Form	М	Μ	Ç									;						М	М	C	
0	6. Module Basis																	[				
Ť	6.1 Support Slab									М	Μ			М	М	z	С					
۲ ۲	6.2 Backfilled drainage system									С	С			М	М	М	С					
e	6.3 Precast Element									С	С			М	М	М	С					
F	6.4 Columns									C	С			М	Μ	М	С					
ĕ	6.5 Backfilled inspection room									м	М			М	М	М	С		M	М	С	
č	6.6 Foundation Slab									м	М			М	М	M	С					
	7. Backfilled Inspection Gallery																	[	М	М	C	
S	8. Foundations																	וו				
$\bigcirc$	8.1 Sand-Cement Embankment													М	М	М	С					
	8.2 Drainage layer					Μ	М	С														
	8.3 Site Sand Leveling																					
	9. Site																	Г				٦
	9.1 Site Geology													С	C	С	Ċ					
	9.2 Site Surveillance (Nuc. Class I Facility)																		М			
	9.3 Markers and archives																		С	С	С	
	Legend:																					_
	M (Main) - C (Contribute)																					

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- 1

IV - Isolation Phase

Long Term safety



CI'ID A

Správa úložišť radioaktivních odpadů

**Radioactive Waste Repository Authority** 

III - Nuclear Regulatory Control Phase

V - Chemical Containment Phase VI - Post Containment Phase

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Extract from Belgium low level waste FAT



Some references

BS EN 1325:2014, Value Management. Vocabulary. Terms and definitions

Description Value analysis, Functional analysis, Vocabulary, Management, Management techniques, Enterprises, Organizations, Personnel, Performance, Terminology, Definitions

Some systems orientated project management standards (XPX 50-400 series)

Functional analysis is quoted in IAEA (and NEA) documents

 Safety Assessment Methodologies for Near Surface Disposal Facilities (ISAM methodology)
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PARS (Phenomenological Analysis of the Repository Situations)



This section is also available as pptx since it

contains animations.







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Správa ú

#### Multi-physical

Thermal (T), Hydraulic-Gas (H), Mechanical (M), Chemical (C), Radiological (R) Solute transfer in porous media (Tr).

à Multiple physical processes interacting unilaterally/bilaterally à With high and low coupling levels à Either concomitantly or sequentially

### Multiple spatial scales

- centimetres to metres: waste
- metres to decametres: cell

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- hectometres to kilometres: repository
- several kilometres: geological medium

à Management of > 7 orders of magnitude in space 37/57



Management of :

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- à 6 orders of magnitude in time
- à 7 orders of magnitude in space

Need to structure the knowledge/uncertainties to
 à isolate/frame phenomenological situations
 à to organize the knowledge restitution (source, verification, hypothesis and simplifications... traceability)
 à to prepare the data bases for numerical simulations

# "Phenomenological Analyse of Repository Situations (PARS)"



The complexity of the system requires that it be broken down into subsystems

*è* Spatial/temporal segmentation of the evolution of the repository into "situations"





## Spatial/temporal segmentation è ± 80 situations

Operation													Po	st-clos								
	Years	0				5	0					1	00		1000		5000	1	0000	50000	1000	00 1000000
Surface insta	allation					1												54				
Under-	Shaft	4			6					-				65			61				60	5
ground structures	Connection and service drifts	3				7						8					62				59	9
structures	B CE/DT waste repository zone	10	42		47	48	43	4	44	45	46			- (	66		76		64		0	79
	B BB waste repository zone				<i>1</i> 9	50	51	5	52	53					00		, 0		0.			.,
	Vitrified waste repository zone	11	18		25	24	19	20	21	22	2	3		67		75	75		57	6	8	80
	UOX spent fuel repository zone	12		26		32	33	27	28	29	30	31			68	68 77		7	71	7	2	82
	MOX spent fuel repository zone	13			34	4	1 4	0 3	5 30	5 37	38	39	)			69		7 8	73	7	4	82
Geological	Callovo-Oxfordian clay	14 9 63																81				
medium	Dogger carbonate																					
jar jiela	Oxfordian limestone	15																				
	Kimmeridgian marls	16 60																				
	Tithonian: Barrois limestone	17																				
Surface envi	ironment					2											55					

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#### Safety approach : PARS (Phenomenological Analysis of Repository Situations) Situation data sheets structured in four parts :

#### q Chapter 1 : Definition of the situation

This chapter deal with the presentation of the current situation. It includes:

- )) Time positioning :
  - à Beginning/ending time of the situation
  - à Positioning of the situation within the situation matrix
- )) Components
  - à Presentation of the "components tree" highlighting natural and engineering components which are concerned
  - à Description of components (from engineering studies) : materials, dimensioning, functions...
- ) hypothesis

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à Trace back assumptions at the current state of art (design hypothesis, neglected couplings,...)

<u>Size</u> : ~ 2 or 3 pages (including figures) 41/57



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# Situation data sheets structured in four parts :

#### q Chapter 2 : Description of processes

This chapter deal with the description of THMCR processes (including couplings) which affect components over the space/time.

- Description of processes (nature, level of couplings, sequencing,...)
- Duantification of processes (order of magnitude, characteristic timescales,
  - à Beginning/ending time of the situation
  - à Positioning of the situation within the situation matrix

Factually, without value judgment or safety consideration

#### <u>Size</u> : unlimited. Depends on :

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the number of processes involved and the necessity of describing/quantifying them (includ. couplings)
the current level of knowledge

#### Phenomenological Analysis of Repository Situations (PARS) Surface Disposal Facilities Situation data sheets SUMMARY OF A SITUATION DATA SHEET 1. Definition of the situation 1.1 Time positionning 1.2 Components 1.3 Hypothesis 2. Processes 2.1 Thermal processes 2.2 Hydraulic/gas processes 2.3 Chemical processes 2.4 Mechanical processes 2.5 Radiological processes 2.6 Release and migration of radionuclides 3. Synthesis 4. Uncertainties



# Situation data sheets structured in four parts :



# Situation data sheets structured in four parts :

#### q Chapter 4 : Uncertainties

This chapter deal with the identification of uncertainties of all sort:

- » Characterization / lack of knowledge
- Dualitative uncertainties (processes, coupling effects,...)
- Duantitative uncertainties (uncertainties on parameters, natural variability, approximations/simplifications,...)
- Identification of bifurcation: Could the story of the phenomenological evolution be different? Is there an alternative evolution possible ?

<u>Size</u> : unlimited : depends on the level of knowledge and the current state of art. 44/57

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Writing requirements

To make a description base on factual and clearly referenced scientific arguments (with regard to the current knowledge):

- Identifying the source of information (simulation, experiment, analogues, expert opinion,...)
- )) Showing references in a systematic way (traceability)
- Crossing as much as possible different sources of information to make the description robust and consistent
- » Adopting a rigorous style, factually, without making any safety or value judgment
- Stepping back towards the origin of information by focusing on their representativeness (samples, full scale experiments/modelling,...)

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QSA : Qualitative Safety Analysis





Managing uncertainties and events



#### )) Base of the methodology

- □ Integrated and structured approach for the treatment of uncertainties
  - + their impact on safety functions and
  - + how they are managed

### » A two steps method

- □ Analysis of uncertainties component per component
- □ Global analysis (of all functions) and identification of failure mode (including combination of uncertainties)

![](_page_48_Picture_8.jpeg)

![](_page_49_Figure_1.jpeg)

According to 2008 regulatory guidance, need to evaluate normal and altered scenarios

The normal-evolution and altered scenarios describe the spatial-temporal combination of FEPs and models in line with safety functions based on QSA results:

- Verification of the performance of the safety functions and robustness of the design by relying on relevant indicators (dose and other complementary indicators)
  - + uncertainties leading to a certain number of hypotheses for calculation purposes.
- » Normal Evolution Scenario (NES)
  - + Covers all features/events/process coupled or not considered as sufficiently certain or probable
  - + Is a verification step in the design and acquisition of knowledge by presenting an integrated view of disposal components with the expected function
- ) Altered Evolution Scenarios (AES)

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- + Describes "uncertain" or "conventional" situations corresponding to two main categories:
  - + Failure of one or more safety functions of disposal
  - + Human intrusion (after monitoring period)

![](_page_50_Picture_12.jpeg)

![](_page_51_Figure_1.jpeg)

#### The actual safety loop

![](_page_52_Picture_1.jpeg)

#### Major milestones in terms of safety loops

![](_page_53_Figure_1.jpeg)

#### The current schedule

![](_page_54_Figure_1.jpeg)

#### The actual RD&D development plan for seals

![](_page_55_Figure_1.jpeg)

# Thank you

![](_page_56_Picture_1.jpeg)